

US District Court Electronic Case Filing System
District of Utah (Central)
CIVIL DOCKET FOR CASE #: 2:19-cv-00839-HCN-DBP

Wilson-Davis & Co. v. US Securities and Exchange
Commission
Assigned to: Judge Howard C. Nielson, Jr
Referred to: Magistrate Judge Dustin B. Pead
Cause: 05:0552 Freedom of Information Act

Date Filed: 10/29/2019
Jury Demand: None
Nature of Suit: 895 Freedom of
Information Act
Jurisdiction: U.S. Government Defendant

Plaintiff

Wilson-Davis & Co.
a Utah corporation

represented by **Richard F. Ensor**
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LEAD ATTORNEY
ATTORNEY TO BE NOTICED

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ATTORNEY TO BE NOTICED

V.

Defendant

**US Securities and Exchange
Commission**
a Government Agency

Date Filed	#	Docket Text
10/29/2019	<u>1</u>	Case has been indexed and assigned to Judge Howard C. Nielson, Jr.. Plaintiff Wilson-Davis & Co. is directed to E-File the <u>Complaint and cover sheet</u> (found under Complaints and Other Initiating Documents) and pay the filing fee of \$ 400.00 by the end of the business day. NOTE: The court will not have jurisdiction until the opening document is electronically filed and the filing fee paid in the CM/ECF system. Civil Summons may be issued electronically. Prepare the summons using the courts <u>PDF version</u> and email it to utdecf_clerk@utd.uscourts.gov for issuance. (nl) (Entered: 10/29/2019)
10/29/2019	<u>2</u>	COMPLAINT for Declaratory and Injunctive Relief against US Securities and Exchange Commission (Filing fee \$ 400, receipt number 1088-3406271) filed by Wilson-Davis & Co.. (Attachments: # <u>1</u> Civil Cover Sheet Civil Cover Sheet, # <u>2</u> Exhibit Exhibit 1, # <u>3</u> Exhibit Exhibit 2, # <u>4</u> Exhibit Exhibit 3, # <u>5</u> Exhibit Exhibit 4, # <u>6</u> Exhibit Exhibit 5, # <u>7</u> Exhibit Exhibit 6, # <u>8</u> Exhibit Exhibit 7, # <u>9</u> Exhibit Exhibit 8, # <u>10</u> Exhibit Exhibit 9, # <u>11</u> Exhibit Exhibit 10, # <u>12</u> Exhibit Exhibit 11, # <u>13</u> Exhibit Exhibit 12, # <u>14</u> Exhibit Exhibit 13, # <u>15</u> Exhibit Exhibit 14) Assigned to Judge Howard C. Nielson, Jr (Ensor, Richard) (Entered: 10/29/2019)
10/30/2019	<u>3</u>	ORDER REFERRING CASE to Magistrate Judge Dustin B. Pead under 28:636 (b)(1)(A), Magistrate to hear and determine all nondispositive pretrial matters. No attached document. Signed by Magistrate Judge Dustin B. Pead on 10/30/2019. (las) (Entered: 10/30/2019)

10/30/2019	<u>4</u>	**RESTRICTED DOCUMENT** Summons Issued Electronically as to US Attorney's Office District of Utah. Instructions to Counsel: 1. Click on the document number. 2. If you are prompted for an ECF login, enter your 'Attorney' login to CM/ECF. 3. Print the issued summons for service. (nl) (Entered: 10/30/2019)
10/31/2019	<u>5</u>	**RESTRICTED DOCUMENT** Summons Issued Electronically as to US Securities and Exchange Commission. Instructions to Counsel: 1. Click on the document number. 2. If you are prompted for an ECF login, enter your 'Attorney' login to CM/ECF. 3. Print the issued summons for service. (nl) (Entered: 10/31/2019)